

## Ship Security Plan Questionnaire

VESSEL NAME: \_\_\_\_\_

DATE: \_\_\_\_\_

REVIEWER: \_\_\_\_\_

Requirements of		SECURITY ASSESSOR	Yes	No	
Part A	Part B				
A/8.2		Has the Ship Security Assessment being carried out for the ship by the person with appropriate skills to evaluate the ship's security?			
		Review his training certificates and/or CV			
		1. Shipboard experience- At least Second Mate or Second Engineer, or similar Navy or Coast Guard Experience.			
		2. Security training from one of the IACS members, LISCR or another reputable company.			
<b>Section 8 SHIP SECURITY ASSESSMENT</b>					
			Page where found in Assessment		
A/8.4		Has the SSA included the followings?			
A/8.4		<b>on-scene</b> security survey			
A/8.4.1		identification of <b>existing security measures</b> , procedures and operations			
A/8.4.2		identification and evaluation of <b>key ship board operations</b> that it is important to protect			
A/8.4.3		identification of <b>possible threats</b> to the key ship board operations and the likelihood of their occurrence, in order to establish and prioritize security measures; <b>(See end of this section 8 regarding vessels transiting off the east coast of Africa, east coast of Oman, Seychelles, the southern Red Sea and the west coast of Africa)</b>			
A/8.4.4		<b>identification of weaknesses</b> , including human factors in the infrastructure, policies and procedures			
	B/8.2	Prior to commencing the SSA, has the CSO ensured that advantage is taken of information available on the <b>assessment of threat for the ports at which the ship will call</b> or at which passengers embark or disembark and about the port facilities and their protective measures?			
	B/8.2	Has the CSO studied <b>previous reports</b> on similar security needs?			
	B/8.2	Has the CSO met with <b>appropriate persons on the ship and in the port facilities</b> to discuss the purpose and methodology of the assessment, where feasible?			
	B/8.2	Has the CSO followed any specific <b>guidance offered by the Contracting Governments</b> ?			
	B/8.3	Has the SSA addressed the following elements on board or within the ship?			
	.1	physical security			
	.2	structural integrity			
	.3	personnel protection systems			
	.4	procedural policies			



	B/8.6		Has the SSA examined each <b>identified point of access</b> , including open weather decks, and evaluate its potential for use by individuals who might seek to breach security? This includes points of access available to individuals having legitimate access as well as those who seek to obtain unauthorized entry.			
	B/8.7		Has the SSA considered the continuing relevance of the existing security measures and guidance, procedures and operations, under both routine and emergency conditions and should determine security guidance for following?			
		.1	the <b>restricted areas</b>			
		.2	the response procedures to <b>fire or other emergency</b> conditions			
		.3	the <b>level of supervision</b> of the ship personnel, passengers, visitors, vendors, repair technicians, dock workers, etc.			
		.4	the frequency and effectiveness of <b>security patrols</b>			
		.5	the <b>access control</b> systems, including identification systems			
		.6	the <b>security communications</b> systems and procedures			
		.7	the <b>security doors</b> , barriers and <b>lighting</b>			
		.8	the security and <b>surveillance equipment</b> and systems, if any			
	B/8.8		Has the SSA considered the following persons, activities, services and operations that it is important to protect?			
		.1	the ship personnel			
		.2	passengers, visitors, vendors, repair technicians, port facility personnel, etc;			
		.3	the capacity to maintain safe navigation and emergency response			
		.4	the cargo, particularly dangerous goods or hazardous substances			
		.5	the ship stores			
		.6	the ship security communication equipment and systems, if any			
		.7	the ship security surveillance equipment and systems, if any			
<b>THREATS</b>			Has the SSA considered all possible threats, which may include the following types of security incidents?			
	B/8.9	.1	damage to, or destruction of, the ship or of a port facility, e.g. by explosive devices, arson, sabotage or vandalism			
		.2	hijacking or seizure of the ship or of persons on board			
		.3	tampering with cargo, essential ship equipment or systems or ship stores			
		.4	unauthorized access or use, including presence of stowaways			
		.5	smuggling weapons or equipment, including weapons of mass destruction			
		.6	use of the ship to carry those intending to cause a security incident and/or their equipment			
		.7	use of the ship itself as a weapon or as a means to cause damage or destruction			
		.8	attacks from seaward whilst at berth or at anchor			
		.9	attacks whilst at sea			
<b>VULNERABILITIES</b>			Has the SSA taken into account all possible vulnerabilities for following?			
	B/8.10	.1	conflicts between safety and security measures			
		.2	conflicts between shipboard duties and security assignments;			
		.3	watch-keeping duties, number of ship personnel, particularly with implications on crew fatigue, alertness and performance			
		.4	any identified security training deficiencies			



Requirements of		Ship Security Plan Questionnaire		Yes	No	Page/item in SSP
<b>Part A</b>	<b>Part B</b>		<b>Section 5 DECLARATION OF SECURITY</b>			
<b>DOS</b>	B/5.2		Are the requirements for the use of a DoS set out in the ship security plan?			
	B/9.52		Has the SSP detailed how requests for DoS from a port facility will be handled and the circumstances under which the ship itself should request a DoS?			
			<b>Section 6 OBLIGATIONS OF THE COMPANY</b>			
A/6.1			Has the Company ensured that the ship security plan contains a clear statement <b>emphasizing the master's authority</b> ?			
A/6.1			Has the Company established in the ship security plan that the master has the <b>overriding authority</b> and responsibility to make decisions with respect to the security of the ship and to request the assistance of the Company or of any Contracting Government			
A/6.2			Has the Company ensured that the company security officer, the master and the ship security officer are given the necessary support to fulfill their duties and responsibilities in accordance with chapter XI-2 and this part of the Code?			
	B/6.1		Has following information included?			
		.1	parties responsible for <b>appointing shipboard personnel</b> , such as ship management companies, manning agents, contractors, concessionaries, for example, retail sales outlets, casinos etc			
		.2	parties responsible for <b>deciding the employment of the ship</b> including, time or bareboat Charterer (s) or any other entity acting in such capacity			
		.3	in cases when the ship is employed under the terms of a <b>charter party</b> , the contact details of those parties including time or voyage charterers			
A/9.3			Is the result of <b>Ship Security Assessment attached</b> to the Ship Security Plan?			
A/9.4			Does the Plan address the following?			
		.1	measures designed to prevent weapons, dangerous substances and devices intended for use against people, ships or ports and the carriage of which is not authorized from being taken on board the ship			
<b>RESTD AREAS</b>		.2	identification of the <b>restricted areas</b> and measures for the prevention of unauthorized access			
		.3	measures for the prevention of unauthorized <b>access to the ship</b>			
		.4	procedures <b>for responding to security threats or breaches of security</b> , including provisions for maintaining critical operations of the ship or ship/port interface			
		.5	procedures for responding to any security <b>instructions Contracting Governments may give</b> at security level 3			
<b>EVACUATION</b>		.6	procedures for <b>evacuation</b> in case of security threats or breaches of security			
		.7	duties of <b>shipboard personnel assigned</b> security responsibilities and of other shipboard personnel on security aspects			
		.8	procedures for <b>auditing</b> the security activities			
A/13		.9	procedures for <b>training, drills and exercises</b> associated with the plan ** (See Note on page 14)			
		.10	procedures for interfacing with <b>port facility security</b> activities			













			(Security Level 3 <b>Delivery of Ship's Store</b> )			
	B/9.37		Has the SSP detailed the following security measures which could be taken by the ship, in close co-operation with those responding and the port facility?			
		.1	subjecting ship stores to more extensive checking			
		.2	preparation for restriction or suspension of handling of ship stores			
		.3	refusal to accept ship stores on board the ship			
<b>UNACCOMPANIED BAGGAGE</b>			(Security Level 1 <b>Handling Unaccompanied Baggage</b> )			
	B/9.39		Has the SSP established the security measures to be applied when handling unaccompanied baggage to ensure that unaccompanied baggage is screened or searched up to and including 100 percent, which may include use of x-ray screening?			
			(Security Level 2 <b>Handling Unaccompanied Baggage</b> )			
	B/9.40		Has the SSP established the additional security measures to be applied when handling unaccompanied baggage which should include 100 percent x-ray screening of all unaccompanied baggage?			
			(Security Level 1 <b>Handling Unaccompanied Baggage</b> )			
	B/9.41		Has the SSP detailed the following security measures which could be taken by the ship, in close co-operation with those responding and the port facility?			
		.1	subjecting such baggage to more extensive screening, for example x-raying it from at least two different angles			
		.2	preparation for restriction or suspension of handling of unaccompanied baggage			
		.3	refusal to accept unaccompanied baggage on board the ship.			
<b>MONITORING SHIP SECURITY</b>			( <b>Monitoring the Security of the Ship</b> )			
	B/9.44		Has the SSP established the procedures and equipment needed at each security level and the means of ensuring that monitoring equipment will be able to perform continually, including consideration of the possible effects of weather conditions or of power disruptions?			
			(Security Level 1 <b>Monitoring the Security of the Ship</b> )			
	B/9.45		Has the SSP established the security measures to be applied which may be a combination of lighting, watch keepers, security guards or use of security and surveillance equipment to allow ship security personnel to observe the ship in general, and barriers and restricted areas in particular?			
	B/9.46		Has the following been considered when establishing the appropriate level and location of lighting?			
		.1	the ship personnel should be able to detect activities beyond the ship, on both the shore side and the waterside			
		.2	coverage should include the area on and around the ship			
		.3	coverage should facilitate personnel identification at access points			
		.4	coverage may be provided through coordination with the port facility			
			(Security Level 2 <b>Monitoring the Security of the Ship</b> )			

	B/9.47		Has the SSP established the following additional security measures to be applied to enhance the monitoring and surveillance capabilities?			
		.1	increasing the frequency and detail of security patrols			
		.2	increasing the coverage and intensity of lighting or the use of security and surveillance and equipment			
		.3	assigning additional personnel as security lookouts			
		.4	ensuring coordination with waterside boat patrols, and foot or vehicle patrols on the shore-side, when provided			
<b>MONITORING SHIP SECURITY</b>			(Security Level 3 <b>Monitoring the Security of the Ship</b> )			
	B/9.49		Has the SSP detailed the following security measures which could be taken by the ship, in close co-operation with those responding and the port facility?			
		.1	switching on of all lighting on, or illuminating the vicinity of, the ship			
		.2	switching on of all on board surveillance equipment capable of recording activities on, or in the vicinity of, the ship			
		.3	maximizing the length of time such surveillance equipment can continue to record			
		.4	preparation for underwater inspection of the hull of the ship			
		.5	initiation of measures, including the slow revolution of the ship propellers, if practicable, to deter underwater access to the hull of the ship			
<b>ADL. LIGHT</b>	B/9.48		Are measures to provide additional lighting when necessary available?			
			<b>(Differing Security Levels)</b>			
	B/9.50		Has the SSP established details of the procedures and security measures the ship could adopt if the ship is at a higher security level than that applying to a port facility?			
			<b>(Activities not covered by the Code)</b>			
	B/9.51		Has the SSP established details of the procedures and security measures the ship should apply for the following cases?			
		.1	it is at a port of a State which is not a Contracting Government			
		.2	it is interfacing with a ship to which this Code does not apply			
		.3	it is interfacing with fixed or floating platforms or a mobile drilling unit on location			
		.4	it is interfacing with a port or port facility which is not required to comply with chapter XI-2 and part A of this Code			
<b>AUDIT &amp; REVIEW</b>			<b>(Audit and Review)</b>			
	B/9.53		Has the SSP established how the CSO and the SSO are intending to audit the continued effectiveness of the SSP and the procedure to follow for review, update or amending the SSP?			
<b>RECORDS</b>			<b>Section 10 RECORDS</b>			
A/10.1	<b>RECORDS</b>		Are instructions/information to maintain the records of the activities addressed in the SSP provided?			

A/10.3			In the case that the records are to be kept in an electronic format, are they protected by procedures aimed at preventing unauthorized deletion, destruction or amendment?			
<b>CSO</b>			<b>Section 11 COMPANY SECURITY OFFICER</b>			
A/11.1			Has the Company Security Officer been designated for the ship?			
A/11.2			Are following duties and responsibilities of the company security officer included in the Plan?			
		.1	advising the level of threats likely to be encountered by the ship, using appropriate security assessments and other relevant information			
		.2	ensuring that ship security assessments are carried out			
		.3	ensuring the development, the submission for approval, and thereafter the implementation and maintenance of the ship security plan			
		.4	ensuring that the ship security plan is modified, as appropriate, to correct deficiencies and satisfy the security requirements of the individual ship			
		.5	arranging for internal audits and reviews of security activities			
		.6	arranging for the initial and subsequent verifications of the ship by the Administration or the recognized security organization			
		.7	ensuring that deficiencies and non-conformities identified during internal audits, periodic reviews, security inspections and verifications of compliance are promptly addressed and dealt with			
		.8	enhancing security awareness and vigilance			
		.9	ensuring adequate training for personnel responsible for the security of the ship			
		.10	ensuring effective communication and co-operation between the ship security officer and the relevant port facility security officers			
		.11	ensuring consistency between security requirements and safety requirement			
		.12	ensuring that, if sister-ship or fleet security plans are used, the plan for each ship reflects the ship-specific information accurately			
		.13	ensuring that any alternative or equivalent arrangements approved for a particular ship or group of ships are implemented and maintained			
<b>SSO</b>			<b>Section 12 SHIP SECURITY OFFICER</b>			
A/12.1			Has the Ship Security Officer been designated on the ship?			
A/12.2			Are following duties and responsibilities of the ship security officer included in the Plan?			
		.1	undertaking regular security inspections of the ship to ensure that appropriate security measures are maintained			
		.2	maintaining and supervising the implementation of the ship security plan, including any amendments to the plan			
		.3	coordinating the security aspects of the handling of cargo and ship stores with other shipboard personnel and with the relevant port facility security officers;			
		.4	proposing modifications to the ship security plan			

		.5	reporting to the Company Security Officer any deficiencies and non-conformities identified during internal audits, periodic reviews, security inspections and verifications of compliance and implementing any corrective actions			
		.6	enhancing security awareness and vigilance on board			
		.7	ensuring that adequate training has been provided to shipboard personnel, as appropriate			
		.8	reporting all security incidents			
		.9	coordinating implementation of the ship security plan with the company security officer and the relevant port facility security officer			
		.10	Ensuring that security equipment is properly operated, tested, calibrated and maintained, if any.			
<b>PIRACY &amp; ARMED ROBBERY</b>			<b>BEST MANAGEMENT PRACTICES TO DETER PIRACY AND ARMED ROBBERY</b>			
Marine Operations Note 02-2012			Has the SSP established additional security measures to counter the threat of piracy and armed robbery when operating off the east coast of Africa, east coast of Oman, Seychelles, the southern Red Sea and the west coast of Africa			

\*\* Note: Although not a requirement for SSP approval under the ISPS Code, Company's shall ensure compliance with security-related training and instruction for all seafarers in accordance with A-VI/6 of the STCW Code, as amended.